

SEC 002  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : September 21, 2000  
U. Duerig, et al : Group Art No.: 2741  
Serial No. 09/616,020 : Examiner: Not assigned  
Filed: July 13, 2000 : for IBM Corporation  
Anne Vachon Dougherty  
Title: SIGNAL PROCESSING BY 3173 Cedar Road  
MEANS OF RESONATORS Yorktown Hts, NY 10598

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

Acknowledgment Postcard

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

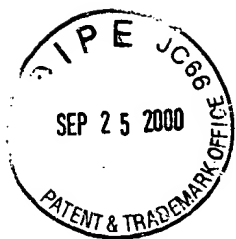
Commissioner of Patents  
Washington, DC 20231

on September 21, 2000.

Anne Vachon Dougherty

Anne Vachon Dougherty  
Signature of person mailing

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DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to  
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))  
in the amount of \$130.00.

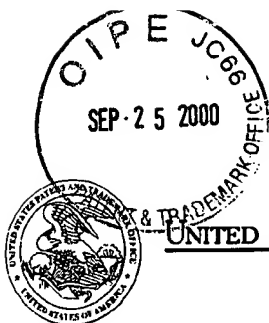
Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty  
Name of authorized party

Anne Vachon Dougherty  
Signature of authorized party

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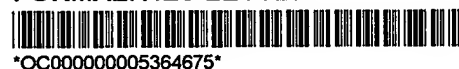
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/616,020	07/13/2000	Urs Duerig	CH9-1999-0025-US1

Anne V Dougherty  
3173 Cedar Road  
Yorktown Heights, NY 10598

## FORMALITIES LETTER



Date Mailed: 08/30/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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